

SR36: 08-15

## IMPORT APPLICATION

FOR ACCEPTANCE OF IMPORTED THOROUGHBREDS INTO THE OFFICIAL RECORDS OF  
 THE NEW ZEALAND STUD BOOK & FOR RE-INTEGRATION OF HORSES RETURNING TO NEW ZEALAND

SECTION 1. HORSE DETAILS		
Name (if registered with a name overseas):		(      ) Suffix
Sire:	Dam:	
Age/Year of Foaling:	Sex: Colt <input type="checkbox"/> Filly <input type="checkbox"/> Mare <input type="checkbox"/> Gelding <input type="checkbox"/> Gelding date if known: _____	Colour: Bay <input type="checkbox"/> Black <input type="checkbox"/> Brown <input type="checkbox"/> Chestnut <input type="checkbox"/> Grey <input type="checkbox"/> Other: _____
Cipher Brand (Near Side)	Numerical Brand (Off Side)	Microchip:

SECTION 2. IMPORT DETAILS		
Date imported:	Imported from:	
Will this horse race in New Zealand? Circle one, if yes please complete below, if no go to Section 3.      YES      NO - breeding      NO - sales preparation		
Date & venue where horse last raced:		
Trainer: State name of trainer horse will be assigned to whilst in NZ:		
Colours – for visiting racehorses only: If Foreign Colours are sought to be used, please provide details for registration purposes. If Foreign Colours stated are the same as a set of current NZ registered colours, then an alternative option may be required		
Jacket: (Colours & Design)	Sleeves: (Colours & Design)	Cap: (Colours & Design)

SECTION 3. PAYMENT DETAILS	
Import fee is \$240.00 with the exception of: <ul style="list-style-type: none"> <li>• Visiting racehorses entering NZ where period of stay is less than 3 months</li> <li>• NZ broodmare returning from Australian service in SAME season</li> <li>• Foals arriving at foot with their dam</li> <li>• NZ racehorses returning within a 3 month period</li> </ul>	
I would like to pay by Bank Deposit: <input type="checkbox"/> Date Deposited: _____      Reference Used: _____ New Zealand Thoroughbred Racing Inc - Bank Account Number 01-0517-0063944-00. (Please use your name & form type as reference)	
Please charge my:      Mastercard <input type="checkbox"/> Visa <input type="checkbox"/> Amex <input type="checkbox"/> Diners Club <input type="checkbox"/>	
Card No:	Expiry:    /
Cardholder's Name: _____	Signature: _____
My Cheque is enclosed for \$ _____ (Payable to New Zealand Thoroughbred Racing)	

**When the fee is paid this form constitutes a GST tax invoice. If a payment forms part of a taxable activity within the GST Act a copy should be retained for your records.**

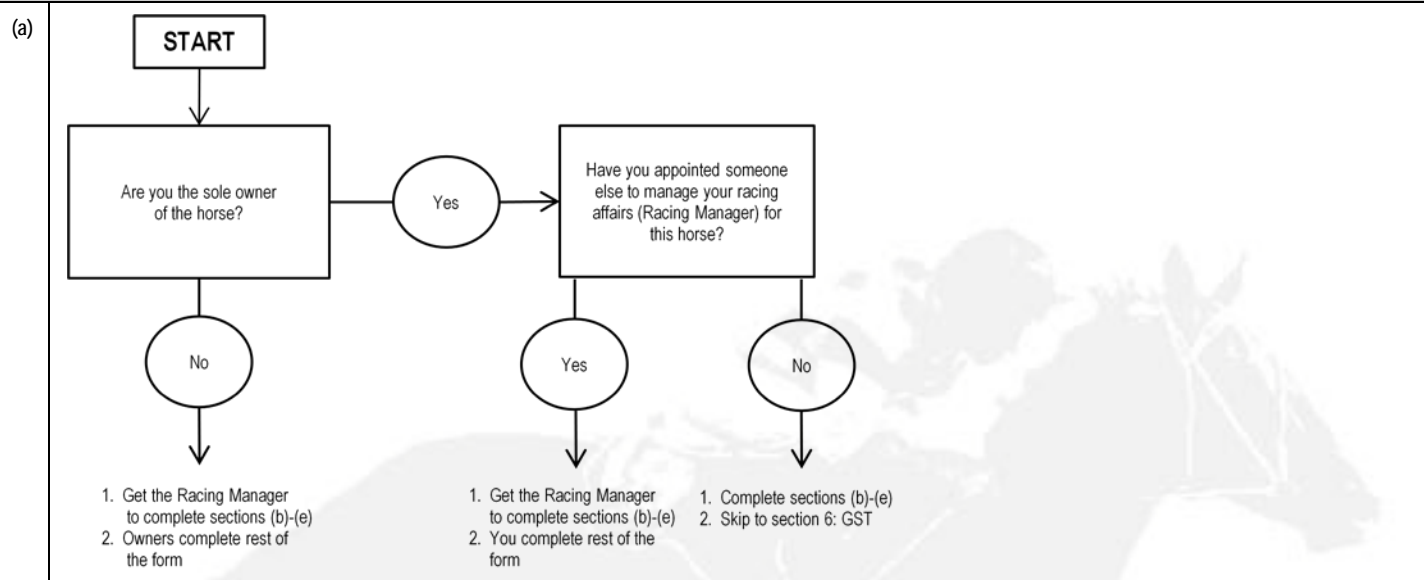
OFFICE USE	Date: Received:	Amount Paid:      \$	Payment Coding:
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DETAILS OF THE OWNERSHIP ARE TO BE CONFIRMED IN SECTIONS 4 - 8

**SECTION 4. RACING MANAGER / NEW OWNER 1**

Every horse must have a person appointed as Racing Manager. Where the horse is owned by a legal entity (e.g. Company) details of the legal entity must be entered in the owner section on page 3 of this form.

Use the flowchart below to help you work out who needs to complete this section.



(b) I accept appointment as Racing Manager for this horse and agree to act on the owners' behalf in all matters, as required under Rules 425-430 of the New Zealand Rules of Racing, including the payment of NZTR Owners Settlement Accounts. I authorise NZTR to use the information collected from me to carry out a credit check and for any other purpose which, in accordance with its privacy policy, it may in its discretion think appropriate.

Title:	First Name(s):	Surname:	Date of Birth:
Postal address:			
Email Address:			
Telephone – Home:		Telephone – Mobile:	

(c) The NZTR Owners Settlement Account for this horse will be sent to the Racing Manager either by email or to the postal address above. Please confirm whether you wish to receive the Settlement Account by email or post: **By email**  **By post**

Please advise if there is an email address to which you would like copies of the NZTR Owners Settlement Accounts for this horse also to be sent to (e.g. your accountant):  
 Email for copies of NZTR Owners Settlement Accounts: \_\_\_\_\_

Bank Account Number for direct credit of stakes:

The Settlement Account can also be managed online via [www.nzracing.co.nz](http://www.nzracing.co.nz), email [TROSA@nzracing.co.nz](mailto:TROSA@nzracing.co.nz) for access to this service.  
 Payment of all accounts held in your name with NZTR, which relate to fees including (but not limited to) nomination, acceptance and jockey fees is due by the 20<sup>th</sup> day of the month following the month in which the debt is incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment is received in full. In the event NZTR engages a debt collection agency to collect unpaid amounts from you, you will also be liable to pay to NZTR the reasonable collection costs it incurs to do so. The full NZTR Credit Policy is available from the NZTR website [www.nzracing.co.nz](http://www.nzracing.co.nz).

(d) **Declaration:** *By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse; (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.*

*I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.*

(e) Sign Here: <input style="width:90%;" type="text"/>	% Owned: (if applicable) <input style="width:40%;" type="text"/> %	Date: <input style="width:90%;" type="text"/>
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Horse Name:

Sire:

Dam:

### SECTION 5. NEW OWNER DETAILS

Each person with a legal or beneficial ownership interest in the horse must complete and sign a separate section, for example every person in a syndicate or partnership must complete a section, a married couple must complete a section each.

If more than 10 owners are required to sign, print SR20B from our website and attach to this form.

#### OWNER 2

Complete this section to confirm that you have an ownership interest in the horse. Please print clearly.

Title:	First Name(s):	Surname:	Date of Birth
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Postal address:

Email Address:

Telephone – Home:

Telephone – Mobile:

**Declaration:** By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.

I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.

Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %	Date:	<input type="text"/>
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#### OWNER 3

Complete this section to confirm that you have an ownership interest in the horse. Please print clearly.

Title:	First Name(s):	Surname:	Date of Birth
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Postal address:

Email Address:

Telephone – Home:

Telephone – Mobile:

**Declaration:** By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.

I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.

Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %	Date:	<input type="text"/>
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Horse Name:

Sire:

Dam:

OWNER 4			
Complete this section to confirm that you have an ownership interest in the horse. Please <u>print</u> clearly.			
Title:	First Name(s):	Surname:	Date of Birth
Postal address:			
Email Address:			
Telephone – Home:		Telephone – Mobile:	
<p><b>Declaration:</b> By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.</p> <p>I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.</p>			
Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %
		Date:	<input type="text"/>

OWNER 5			
Complete this section to confirm that you have an ownership interest in the horse. Please <u>print</u> clearly.			
Title:	First Name(s):	Surname:	Date of Birth
Postal address:			
Email Address:			
Telephone – Home:		Telephone – Mobile:	
<p><b>Declaration:</b> By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.</p> <p>I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.</p>			
Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %
		Date:	<input type="text"/>

Horse Name:

Sire:

Dam:

OWNER 6			
Complete this section to confirm that you have an ownership interest in the horse. Please <u>print</u> clearly.			
Title:	First Name(s):	Surname:	Date of Birth
Postal address:			
Email Address:			
Telephone – Home:		Telephone – Mobile:	
<p><b>Declaration:</b> By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.</p> <p>I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.</p>			
Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %
		Date:	<input type="text"/>

OWNER 7			
Complete this section to confirm that you have an ownership interest in the horse. Please <u>print</u> clearly.			
Title:	First Name(s):	Surname:	Date of Birth
Postal address:			
Email Address:			
Telephone – Home:		Telephone – Mobile:	
<p><b>Declaration:</b> By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.</p> <p>I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.</p>			
Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %
		Date:	<input type="text"/>

Horse Name:

Sire:

Dam:

OWNER 8					
Complete this section to confirm that you have an ownership interest in the horse. Please <u>print</u> clearly.					
Title:	First Name(s):	Surname:		Date of Birth	
Postal address:					
Email Address:					
Telephone – Home:			Telephone – Mobile:		
<p><b>Declaration:</b> By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.</p> <p>I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.</p>					
Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %	Date:	<input type="text"/>

OWNER 9					
Complete this section to confirm that you have an ownership interest in the horse. Please <u>print</u> clearly.					
Title:	First Name(s):	Surname:		Date of Birth	
Postal address:					
Email Address:					
Telephone – Home:			Telephone – Mobile:		
<p><b>Declaration:</b> By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse;(b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.</p> <p>I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.</p>					
Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %	Date:	<input type="text"/>

Horse Name:

Sire:

Dam:

OWNER 10			
Complete this section to confirm that you have an ownership interest in the horse. Please <u>print</u> clearly.			
Title:	First Name(s):	Surname:	Date of Birth
Postal address:			
Email Address:			
Telephone – Home:		Telephone – Mobile:	
<p><b>Declaration:</b> By signing this form I certify I have read the form and that all of the information set out on this form, including the horse identification by physical inspection of the horse, the appointment of the Racing Manager, is true and correct. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the Rules of Racing or otherwise. I confirm with the requirements listed therein and that I am (a) Eligible to enter on a racecourse; (b) Eligible under the Rules of Racing to have an interest in or to enter or start such horse in any race (Rule 525 (2)). I acknowledge and agree that the Register of registered horses and owners kept by NZTR is prima facie evidence of the registered ownership of the applicable horse in accordance with the Rules of Racing, and does not constitute nor determine proof of sole or joint ownership of such horse in the event that a dispute may arise.</p> <p>I authorise and consent to NZTR using and disclosing the information collected from me in accordance with section 8 of this form, including consenting to receiving direct marketing and information on events, products and services from NZTR or third parties to the email address I provide in this form.</p>			
Sign Here:	<input type="text"/>	% Owned:	<input type="text"/> %
		Date:	<input type="text"/>

### SECTION 6: GST STATUS OF OWNERS

6.1	Is this horse included within your GST activities for racing?	If YES → go to 6.2 below If NO → go to Section 7
6.2	Are you or your ownership group a single entity for GST purposes?	If YES → go to (a) below If NO → go to (b) below
	(a) If you answered YES to 6.2 please provide your GST number/or your ownership groups GST number	GST Number: _____ GST Partnership Name (if applicable): _____
	(b) If you answered NO to question 6.2 above, you will be required to provide the GST number for each individual owner GST registered for racing in the boxes below.	
	<b>Name of GST Registered Owner</b>	<b>GST Number For Racing Purposes</b>
	1.	
	2.	
	3.	
	4.	
	5.	
	6.	
	7.	
	8.	
	9.	
	10.	

Horse Name:

Sire:

Dam:

**SECTION 7: OWNER NAME FOR PUBLICATIONS (no more than 10 names as per RULE 424 (1) and (2))**

Please state the name(s) which is/are to appear as owner(s) in publications and other media forms. This display may vary between publications.

For the avoidance of doubt, an ownership name may include the term "syndicate" "partnership", or "trust", etc if the registered owners wish to use that term to describe their relationship or the nature of their ownership interest in the horse. E.g. the Race book name for the ownership could be ABC Syndicate, ABC Partnership, or it could be or it could be A, B & C Smith.

1.

2.

3.

4.

5.

6.

7.

8.

9.

10.

Colours horse will race in

**SECTION 8: PRIVACY ACT 1993**

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services.

NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose of direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address.

If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box below.